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PATENT  
Attorney Docket No.: ABREAU-00104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

) Group Art Unit: 2152

Robert Olan Keith, Jr.

) Examiner:

Serial No.: 09/800,607

)

Filed: March 6, 2001

) TRANSMITTAL LETTER

For: **METHOD AND APPARATUS FOR  
APPLYING A PARAMETRIC  
SEARCH METHODOLOGY TO A  
DIRECTORY TREE DATABASE  
FORMAT**

) 162 N. Wolfe Road  
Sunnyvale, CA 94086  
) (408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2100

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 12, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(e))

I hereby certify that this paper (along with an  
affixed to as being attached or enclosed) is being  
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HAVERSTOCK & OWENS LLP

2002-02-12-02 John D. Lewis



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**Assistant Commissioner for Patents  
Washington, D.C. 20231**

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

## Certification

I hereby certify that the attached seven (7) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on January 11, 2002. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



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Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 12, 2002

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HAVERSTOCK & OWENS LLP

Date: 2-13-02 By: Jonathan O. Owens



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In re Application of: ) Group Art Unit: 2152

Robert Olan Keith, Jr. ) )

Serial No.: 09/800,607 ) ) Examiner:

Filed: March 6, 2001 ) ) **SUPPLEMENTAL INFORMATION**  
For: **METHOD AND APPARATUS FOR** ) **DISCLOSURE STATEMENT**

**APPLYING A PARAMETRIC** ) 162 N. Wolfe Road  
**SEARCH METHODOLOGY TO A** ) Sunnyvale, CA 94086  
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**FORMAT** )

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,089,952;
- U.S. Patent No. 5,121,493;
- U.S. Patent No. 5,701,467;
- U.S. Patent No. 6,067,552;
- U.S. Patent No. 6,226,641 B1;
- Japanese Patent Abstract JP 02001034619A; and
- Andrew M. Liao, "Self-adjusting Data Structures: Use Self-adjusting Heuristics to Improve the Performance of your Applications", Dr. Dobb's Journal, pgs. 1-9.

PATENT  
Attorney Docket No.: ABREAU-00104

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 12, 2002

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